

15 August 2013

Dear Mr. Ohemeng-Boamah,

<u>Subject: Medium-Size Project, Guinea: Strengthening decentralized management of the environment to meet Rio Convention objectives. – PIMS No. 4963 - ATLAS BU: GIN10 - Proposal No.: 00075182 - Project No.: 00087233</u>

I am pleased to delegate to you as the UNDP Resident Representative the authority to sign the Initiation Plan for the above-mentioned Project Preparation Grant (PPG) / Programme Cooperation Budget (PCB) on behalf of UNDP, and to commence development of the main project. The Initiation Plan, which amounts to a total of US\$ 25,000, has received its final approval in accordance with the established GEF procedures (CEO approval letter attached for ease of reference).

I am also pleased to provide a summary of the next steps in the process and to outline, for your easy reference, the mandatory GEF-specific project financial and results management requirements. In addition, a number of Advisory Notes have been prepared to support the implementation of Initiation Plans and UNDP supported GEF funded projects. These can be found in the UNDP-GEF Programming Manual at http://intra.undp.org/gef.

Next steps and mandatory GEF-specific requirements:

1. <u>Issuance of Authorized Spending Limit (ASL)</u>: To facilitate a quick start to the PPG/PCB, once the Initiation Plan is signed, please kindly ensure that the Atlas-generated *Annual Work Plan (AWP)* based on the *Total Budget and Annual Work Plan* in the attached document, along with a copy of the signed cover page, is sent to Mr. Tom Twining-Ward, Regional Technical Advisor (RTA) in Bratislava.

Any proposed budget revisions should be forwarded to the UNDP RTA together with a clear explanation of the changes proposed as any significant changes require review and approval. In addition, please note that UNDPGEF is not in a position to increase the project budget above the amount already approved by the GEF Council. Therefore, any over-expenditure on this project would have to be absorbed by other Country Office resources.

2. *GEF-specific project management requirements*:

• Any changes with respect to the objectives of the initiation plan will have to be discussed with and approved by the RTA, as they will have to be reported to the GEF.

Mr. Anthony Kwaku OHEMENG-BOAMAH UN Resident Coordinator/UNDP Resident Representative UNDP, Guinea Guinea

- The final project document and annexes must be ready by 31 May 2013 as outlined in the Initiation Plan. The RTA will advise you of the expected timeline for submission of the final project document for the purpose of internal UNDP clearance prior to submission to the GEF Secretariat.
- All efforts should be made to completely commit the GEF PPG resources by the time the project document is submitted to the GEF for CEO endorsement. A report on the use of the PPG resources is included in Annex C of the GEF CEO Endorsement template.
- If the PPG activities and resources have not been fully completed/committed by CEO endorsement, the same reporting table must be submitted with the first project implementation report (APR/PIR) due one year after project document signature.
- This preparatory phase must be operationally completed in Atlas once the main project is endorsed by the GEF CEO. Financial closure should follow within 12 months, but preferably within the same calendar year.
- In order to accord proper acknowledgement to the GEF for providing funding, full compliance is needed with the GEF's Communication and Visibility Guidelines (the "GEF Guidelines"). The GEF Guidelines can be accessed at: http://www.thegef.org/gef/sites/thegef.org/files/documents/C.40.08_Branding_the_GEF%20final_0.pdf. Full compliance is also required with UNDP's branding guidelines. These can be accessed at http://intra.undp.org/coa/branding.shtml, and specific guidelines on UNDP logo use can be accessed at: http://intra.undp.org/branding/useOfLogo.html.

In concluding, I would like to assure you of UNDP-GEF's and my personal commitment to a successful implementation of the Initiation Plan. The RTA is at your disposal for advice and technical support. Should you have any concerns or questions, please do not hesitate to contact me.

Yours sincerely,

Adriana Dinu
Officer in Charge

and Deputy Executive Coordinator UNDP/GEF

cc: Mr. Abdoulaye Mar Dieye, Assistant Administrator and Bureau Director RBA

Mr. Yamil Bonduki, UNDP/GEF Regional Technical Advisor, New York, USA

Ms. Priya Gajraj, Desk Officer-Guinea, RBA, New York

Annexes

Annex 1 CEO approval letter for PIF Annex 2 Project Support Services



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June 10, 2013

Ms. Adriana Dinu
Deputy GEF Executive Coordinator
United Nations Development Programme
One United Nations Plaza
304 East 45th St.
FF Bldg., 10th floor
New York, NY 10017

Dear Ms. Dinu:

I am pleased to inform you that I have approved the medium-sized project concept detailed below. I have also approved your request for project preparation grant.

Decision Sought:	Project Identification Form (PIF) and Project	
	Preparation Grant (PPG) Approval	
GEFSEC ID:	5041	
Agency ID:	4963 (UNDP)	
Agency(ies):	UNDP	
Focal Area:	Multi Focal-Area	
Project Type:	Medium Size Project	
Country(ies):	Guinea	
Name of Project:	Strengthening Decentralized Management of the Environment to Meet Rio Convention Objectives	
Indicative GEF Project Grant:	\$525,000	
Indicative Agency Fee:	\$49,875	
PPG Grant:	\$25,000	
PPG Agency Fee:	\$2,375	
Funding Source:	GEF Trust Fund	

This PIF and PPG approvals are subject to the comments made by the GEF Secretariat in the attached document. It is also based on the understanding that the project is in conformity with GEF focal areas strategies and in line with GEF policies and procedures.

Ms. Adriana Dinu -2 - June 10, 2013

Please submit your final medium-sized project document for my approval no later than 12 months after PIF approval.

Sincerely,

Naoko Ishii

Chief Executive Officer and Chairperson

Attachment: GEFSEC Review Sheet

Copy to: Country Operational Focal Point,

GEF Agencies, STAP, Trustee

Annex 2: Project Cycle Management Services

Stage	Country Office ¹	UNDP/GEF at regional and global level
Project Development	Initiation Plan: Coordination, management and financial oversight of UNDP Initiation Plan Discuss management arrangements Project Document: Support project development, assist proponent to identify and negotiate with relevant partners, co-financiers, etc. Undertake environmental and social screening of project before PAC. Ensure Environmental and Social Screening Procedure (ESSP) documentation is signed by the Resident Representative or Chair of PAC meeting and attached as Annex to the Project Document. Review, appraise, finalize Project Document. Negotiate and obtain clearances and signatures – Government, UNDP, Implementing Partner, co-financiers, etc.	UNDP/GEF at regional and global level RTA and PA role: Assist in preparation of UNDP Initiation Plan Technical support, backstopping and troubleshooting. Support discussions on management arrangements Facilitate issuance of DOA RTA role: Sourcing of technical expertise. Verification of technical reports and project conceptualization. Guidance on SOF expectations and requirements. Negotiate and obtain clearances by SOF Respond to information requests, arrange revisions etc. Quality assurance and due diligence.
	 Coordinate LPAC and document meeting decisions. Respond to information requests, arrange revisions etc. Prepare operational and financial reports on development stage as needed. 	

Key UNDP/GEF management performance indicators/targets for Project Development:

- 1. Time between PIF approval to CEO endorsement for each project:
 - Target for GEF trust fund project: FSP = 18 months or less, MSP 12 months or less.
 - Target for LDCF and SCCF: FSP/MSP = 12 months or less.
- 2. Time between CEO endorsement (or PAC for non GEF funded projects) to first disbursement for each project:
 - Target = 4 months or less

¹ As per UNDP POPP with additional SOF requirements where relevant.
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